Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

Open to Public Inspection

Α	For the	= 2011 calendar year, or tax year beginning $$	JUN 30, 2012	
	Check if	C Name of organization	D Employer identifi	cation number
	applicable	E ALZHEIMER'S ASSOCIATION,		
	Addres change	S NEW YORK CITES OUR DEED		
	Name change	Doing Business As	13-3	277408
	Initial return	Number and street (or P.O. box if mail is not delivered to street address) Room/s	Suite E Telephone numbe	
Ē	Termin			744-2900
Ē	Amend		G Gross receipts \$	22,273,381.
	Applic		H(a) Is this a group re	
	pendir		for affiliates?	Yes X No
			. 0 0 H(b) Are all affiliates inc	
T	Tax-exe	empt status: X 501(c)(3) 501(c) () ((insert no.) 4947(a)(1) or		list. (see instructions)
		te: NTTP://WWW.ALZ.ORG/NYC/	H(c) Group exemptio	
			Year of formation: 1985	
	art I	Summary		
	$\overline{}$	Briefly describe the organization's mission or most significant activities: SEE SCHE	EDULE O	
nce	'	Entry describe the organization of mission of most organization activities.		
Activities & Governance	2	Check this box if the organization discontinued its operations or disposed of	more than 25% of its net as	ssets.
Ş.	3		3	29
Ğ	4	Number of independent voting members of the governing body (Part VI, line 1b)		29
Š	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	·····	61
įţį	6	Total number of volunteers (estimate if necessary)		614
ξį	7 a	Total unrelated business revenue from Part VIII, column (C), line 12		44,700.
⋖	b	Net unrelated business taxable income from Form 990-T, line 34		30,796.
_			Prior Year	Current Year
ď	8	Contributions and grants (Part VIII, line 1h)	11,773,793.	7,905,719.
ž	9	Program service revenue (Part VIII, line 2g)	149,847.	98,370.
Revenue	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	113,669.	118,994.
ď	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-2,897,546.	-994,939.
	1	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	9,139,763.	7,128,144.
_		Grants and similar amounts paid (Part IX, column (A), lines 1-3)	205,262.	295,799.
	1	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
ý	1	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,368,914.	4,034,479.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)	44,400.	36,000.
ρei	. b	Total fundraising expenses (Part IX, column (D), line 25) 1,112,720.	,	,
ш	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	2,440,532.	3,095,776.
		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	6,059,108.	7,462,054.
		Revenue less expenses. Subtract line 18 from line 12	3,080,655.	-333,910.
<u>ارة</u>			Beginning of Current Year	End of Year
ets	20	Total assets (Part X, line 16)	16,850,104.	14,712,544.
Agg	21	Total liabilities (Part X, line 26)	4,582,470.	2,713,108.
Net Assets or	22	Net assets or fund balances. Subtract line 21 from line 20	12,267,634.	11,999,436.
	art II	Signature Block		
Un	der pena	lties of perjury, I declare that I have examined this return, including accompanying schedules and st	atements, and to the best of m	y knowledge and belief, it is
tru	e, correc	t, and complete. Declaration of preparer (other than officer) is based on all information of which pre	parer has any knowledge.	
Sig	gn	Signature of officer	Date	
He		LOU-ELLEN BARKAN, PRESIDENT AND CEO		
_		Type or print name and title		
		Print/Type preparer's name Preparer's signature	Date Check	PTIN
Рa	id	DAVID M. ROTTKAMP	if self-employ	_{ed} P01303468
Pre	eparer	Firm's name GRASSI & CO., CPA'S P.C.	Firm's EIN	11-3266576
Us	e Only	Firm's address 50 JERICHO QUADRANGLE		
		JERICHO, NY 11753	Phone no. 5	16-256-3500
Ma	av the IF	RS discuss this return with the preparer shown above? (see instructions)		X Yes No

Form	1 990 (2011) NEW YORK CITY CHAPTER	13-3277408	Page 2
	rt III Statement of Program Service Accomplishments		
	Check if Schedule O contains a response to any question in this Part III		X
1	Briefly describe the organization's mission: SEE SCHEDULE O		
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O.	Yes	X No
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services If "Yes," describe these changes on Schedule O.	?Yes	X No
4	Describe the organization's program service accomplishments for each of its three largest program services, a Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of		
4a	others, the total expenses, and revenue, if any, for each program service reported.	_	234.
44	CARE CONSULTATION: IMPROVES THE AFFECTED INDIVIDUAL AND CAREGIVER'S QUALITY OF LIFE AND DECREASE THE STRESSFUL ALZHEIMER'S AND DEMENTIA. CHAPTERS EMPLOY CARE CONSULTIDENTIFY AREAS OF NEED AND PROVIDE ASSISTANCE AND PSYCHOLOGICAL THEOUGH EDUCATION ABOUT THE DISEASE AND SYMPTOM MANAGEMENT.	THEIR IMPACT OF CANTS WHO HOSOCIAL SUPP	ORT
	SOLVING, PLANNING FOR FUTURE NEEDS, AND LINKAGES WITH F PARTICULARLY DURING TRANSITIONAL OR CRISIS SITUATIONS.	RESOURCES,	
	F12 0F0		
4b	(Code:) (Expenses \$ 512,859. including grants of \$) (Reverse INFORMATION AND REFERRAL: PROVIDES SUPPORT AND INFORMATION ALZHEIMER'S DISEASE AND RELATED DEMENTIAS, PROGRAMS AND PROVIDED BY THE CHAPTER, AND COMMUNITY RESOURCES AS THE	TION ABOUT D SERVICES EY RELATE TO	
	ALZHEIMER'S DISEASE AND RELATED DISORDERS THROUGH A 24/HELPLINE AS WELL AS THE WEB.	// TOLL FREE	
4c	(Code:) (Expenses \$ 418,589. including grants of \$ 3,050.) (Reversal SAFETY SERVICES: CHAPTER PROVIDES NATIONWIDE PROGRAMS, RETURN/ MEDIC ALERT AND COMFORT ZONE, WHICH ADDRESS THE	SUCH AS SAFE	
	PERSONS WITH THE DISEASE AND THEIR CAREGIVERS.		
4d	Other program services (Describe in Schedule O.)		
-	(Expenses $\$$ 3, 255, 633 • including grants of $\$$ 2, 350 •) (Revenue $\$$	40,511.	
4e	Total program service expenses ► 5,309,466.		

4e Total program service expenses ▶

Form **990** (2011)

13-3277408

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		Х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect		Х	
_	during the tax year? If "Yes," complete Schedule C, Part II	4	Λ	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
Ü	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
•	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide			
•	credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		Х
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent			
	endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	Х	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	X	
С	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total			7.7
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		_X_
	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		х
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12a	Х	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000	446		Х
15	or more? If "Yes," complete Schedule F, Parts I and IV Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization	14b		
15	or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15		х
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals	13		
.0	located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	Х	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			
	1c and 8a? If "Yes," complete Schedule G, Part II	18	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"			37
•-	complete Schedule G, Part III	19		X
	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20 b		

ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER

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Form 990 (2011)

Part IV Checklist of Required Schedules (continued)

			Yes	No
21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the		v	
	United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Х	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	х	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25	24a		Х
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
Ч	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a			
	disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		х
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified			
	person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial			
	contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member			
	of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		Х
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,			v
	director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c	Х	_X_
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Λ	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations?			
	If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		X
34	Was the organization related to any tax-exempt or taxable entity?			
	If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		Х
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?	330		<u> </u>
	If "Yes," complete Schedule R, Part V, line 2	36		х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			7.7
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		<u> </u>
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?		_V	
	Note. All Form 990 filers are required to complete Schedule O	38	X	

Form **990** (2011)

ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER Form 990 (2011) NEW YORK CITY CHAPTER Part V Statements Regarding Other IRS Filings and Tax Compliance

. 3	2.	77	4	0	8	Page !	

	Check if Schedule O contains a response to any question in this Part V			
		·····	Yes	No
12	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 57		163	140
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
·	(gambling) winnings to prize winners?	1c	Х	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return 2a 61			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Х	
-	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)			
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	За	Х	
	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Х	
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		Х
b	If "Yes," enter the name of the foreign country:			
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		Х
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		Х
	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit			
	any contributions that were not tax deductible?	6a		Х
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Х	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Х	
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required			
	to file Form 8282?	7c		Х
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		Х
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Х
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting			
	organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
а	Did the organization make any taxable distributions under section 4966?	9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
а	Initiation fees and capital contributions included on Part VIII, line 12			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations. Enter:			
а	Gross income from members or shareholders			
b	Gross income from other sources (Do not net amounts due or paid to other sources against			
	amounts due or received from them.)			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note. See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans			
	Enter the amount of reserves on hand			77
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

	Check if Schedule O contains a response to any question in this Part VI			X						
Sec	tion A. Governing Body and Management									
			Yes	No						
1a	Enter the number of voting members of the governing body at the end of the tax year 1a 29									
	If there are material differences in voting rights among members of the governing body, or if the governing									
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.									
b	Enter the number of voting members included in line 1a, above, who are independent 1b 29									
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other									
	officer, director, trustee, or key employee?	2	Х							
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision									
	of officers, directors, or trustees, or key employees to a management company or other person?	3		Х						
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		Х						
5	Did the organization become aware during the year of a significant diversion of the organization's assets?									
6	Did the organization have members or stockholders?									
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or									
	more members of the governing body?	7a		Х						
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or									
	persons other than the governing body?	7b		Х						
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:									
	The governing body?	8a	Х							
	Each committee with authority to act on behalf of the governing body?	8b	Х							
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the									
	organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		Х						
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)									
			Yes	No						
10a	Did the organization have local chapters, branches, or affiliates?	10a		Х						
	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,									
	and branches to ensure their operations are consistent with the organization's exempt purposes?	10b								
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X							
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.									
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Х							
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Х							
	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe									
	in Schedule O how this was done	12c	Х							
13	Did the organization have a written whistleblower policy?	13	X							
14	Did the organization have a written document retention and destruction policy?	14	Х							
15	Did the process for determining compensation of the following persons include a review and approval by independent									
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?									
а	The organization's CEO, Executive Director, or top management official	15a	Х							
	Other officers or key employees of the organization	15b	X							
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).									
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a									
	taxable entity during the year?	16a		Х						
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation									
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's									
	exempt status with respect to such arrangements?	16b								
Sec	tion C. Disclosure									
17	List the states with which a copy of this Form 990 is required to be filed ►NY									
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) and 990-T (Section 501(c)(3)s only) are	vailab	le							
	for public inspection. Indicate how you made these available. Check all that apply.									
	X Own website Another's website X Upon request									
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, an	d finar	ncial							
	statements available to the public during the tax year.									
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization	ion: 🕨								
	STEPHEN MAGGIO - 646-744-2903									
	360 LEXINGTON AVENUE, 4TH FL, NEW YORK, NY 10017			_						

01-23-12

Form **990** (2011)

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

(A)	(B)	Ĭ		((C)			(D)	(E)	(F)
Name and Title	Average hours per week	box	not cl , unles cer an	Pos heck ss pe	ition more rson i	than is bot	h an	Reportable compensation from	Reportable compensation from related	Estimated amount of other
	(describe hours for related organizations in Schedule O)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	compensation from the organization and related organizations
(1) JEFFREY N. JONES								_	_	_
CO-CHAIR	1.50	Х		Х				0.	0.	0.
(2) HEATH B. MCLENDON CO-CHAIR	1.50	x		Х				0.	0.	0.
(3) SUSAN J. CACCAPPOLO										
L.C.S.W, SECRETARY	1.50	Х		Х				0.	0.	0.
(4) SAMUEL F. MARTINI										
TREASURER	0.50	Х		Х				0.	0.	0.
(5) ANDREW W. ALBSTEIN										
DIRECTOR	0.50	Х						0.	0.	0.
(6) PETER A. ANTONUCCI, ESQ										
DIRECTOR	0.50	Х						0.	0.	0.
(7) ANNETTE BENDA-FOX	1									
DIRECTOR	1.00	Х						0.	0.	0.
(8) STEVEN E. BOXER	0.50									_
DIRECTOR	0.50	Х						0.	0.	0.
(9) WILLIAM M. BRACHFELD	0.50	3,7								_
DIRECTOR	0.50	Х						0.	0.	0.
(10) ARI F. COHEN	0.50	\ ,						0.	0.	0
DIRECTOR (11) STEVE CASPER	0.50	Х						0.	0.	0.
DIRECTOR	0.50	x						0.	0.	0.
(12) JAMES CRAIGE	0.30	^						0.	0.	· ·
DIRECTOR	0.50	X						0.	0.	0.
(13) LORI OSCHER FRIEDMAN	0.30								0.	•
DIRECTOR	0.50	x						0.	0.	0.
(14) MATTHEW S. FURMAN		1								
VICE CHAIR	1.00	x						0.	0.	0.
(15) DAVID GEITHNER		 						•	•	•
DIRECTOR	0.50	X						0.	0.	0.
(16) JOHN H. GERNON										, , ,
DIRECTOR	0.50	Х						0.	0.	0.
(17) JAMES F. HADDON										
DIRECTOR	0.50	Х						0.	0.	0.
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	CITY C								13-3	<u> </u>	408	Р	age c
Part VII Section A. Officers, Directors, Tri	ustees, Key E	mplo	oyee	s, a	nd l	High	nest	Compensated Employ	ees (continued)				
(A)	(B)			(0	C)			(D)	(E)			(F)	
Name and title	Average	(do		Pos heck		1 than	one	Reportable	Reportable		Es	stimat	ed
	hours per	box	, unle	ss pe	erson	is bot	th an	compensation	compensation			nount	
	week (describe	_			T	1	1	from	from related			other	
	hours for	or director				L		the organization	organizatior (W-2/1099-MI			pensa om th	
	related	e or c	stee			satec		(W-2/1099-MISC)	(***-27 1099-1011	30)		aniza	
	organizations	truste	al trus		yee	m per		(** 2/ 1300 **********************************				d rela	
	in Schedule	Individual 1	institutional trustee	-e	Key employee	est co oyee	_ 				orga	anizat	ions
	O)	Indiv	Instit	Officer	Key e	Highest compensated employee	Former						
(18) NATHAN HALEGUA													
DIRECTOR	0.50	Х						0.		0.			0.
(19) SUNNIE KENOWSKY IRVING													
DIRECTOR	0.50	Х						0.		0.			0.
(20) SIMON KOOYMAN													
DIRECTOR	0.50	Х						0.		0.			0.
(21) JOHN LATHAM								_		_			
DIRECTOR	0.50	Х						0.		0.			0.
(22) KEVIN T. MCDONNELL								_		_			
DIRECTOR	0.50	Х						0.		0.			0.
(23) ELVERA BISIGNANO MCGUIRE													_
DIRECTOR	0.50	Х						0.		0.	<u> </u>		0.
(24) ABRAHAM PODOLSKY													•
DIRECTOR	0.50	Х						0.		0.	<u> </u>		0.
(25) KENNETH REISS	0.50	l											^
DIRECTOR	0.50	Х						0.		0.			0 .
(26) JOANNE RONSON	0.50												^
DIRECTOR	0.50	_				Ļ		0.		0.			0.
1b Sub-total										0.		F 6	_
c Total from continuation sheets to Part V								595,533. 595,533.		0.		5,6	
d Total (add lines 1b and 1c)										_		5,6	04
2 Total number of individuals (including but r	not limited to th	nose	liste	ed al	bove	e) w	ho re	eceived more than \$100	0,000 of reportab	ıle			,
compensation from the organization												Yes	No
0 5:11										1		162	NO
3 Did the organization list any former officer	•			•	•	•							Х
line 1a? If "Yes," complete Schedule J for s											3		<u> </u>
4 For any individual listed on line 1a, is the s												Х	
and related organizations greater than \$15Did any person listed on line 1a receive or											4	21	
rendered to the organization? If "Yes," con	•				,			•			5		Х
Section B. Independent Contractors	ipiete Scriedai	C 0 1	01 30	ucn	pers	SULL							
Complete this table for your five highest co	mnensated in	dene	ande	ent c	onti	racti	ore t	that received more than	\$100,000 of cor	mnans	ation	from	
the organization. Report compensation for										препа	ationi	10111	
(A)	trie caleridar y	car	criui	ng v	VILII	OI W	/111111	(B)	year.		(0	2)	
Name and business	address	NO	INC	3				Description of s	services	С	compe		on
								·					
							\neg						
										l			

Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 0

SEE PART VII, SECTION A CONTINUATION SHEETS

Form **990** (2011)

Form 990 (2011) NEW YORK									13-327	
Part VII Section A. Officers, Directors, Tru	stees, Key Eı	mple	oyee	s, a	nd F	ligh	est	Compensated Employ	ees (continued)	
(A) Name and title	(B) Average hours	(c	heck	Pos	c) ition that		ly)	(D) Reportable compensation	(E) Reportable compensation	(F) Estimated amount of
	per week	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	from the organization (W-2/1099-MISC)	from related organizations (W-2/1099-MISC)	other compensation from the organization and related organizations
(27) DEBORAH SCESNEY DIRECTOR	0.50	x						0.	0.	0.
(28) ADRIENE WILSON-OTEY	0.30	21						•	•	
DIRECTOR	0.50	Х						0.	0.	0
(29) MARK ZURACK DIRECTOR	0.50	x						0.	0.	0
(30) LOU-ELLEN BARKAN									_	
PRESIDENT & CEO (31) STEPHEN A. MAGGIO	46.60			Х				240,482.	0.	12,813
VICE PRESIDENT & CFO	41.10			х				104,929.	0.	0
(32) JED A. LEVINE	45 50							140 151		E 00E
EXECUTIVE VP, DIRECTOR OF	47.70					Х		148,171.	0.	7,825
(33) PEGGY CHU CHIEF ADMINISTRATIVE OFFICER	47.10					х		101,951.	0.	5,024
		<u> </u>			L					

	1 990 (i			CHAPTER	•		13-32//	408 Page 9
Pal	rt VII	Statement of Rever	nue		1			
					(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512,
						revenue	Tevenue	513, or 514
nts	1 a	Federated campaigns	1a					
Sra Iou	b	Membership dues	1b					
Am (С	Fundraising events	1 _{1c} 1,	730,946.				
重	d	Related organizations	1d					
ini Ti	е	Government grants (contribut	ions) 1e					
rior S	f	All other contributions, gifts, gran	ts, and					
		similar amounts not included abo	ve 1f 6 ,	174,773.				
Contributions, Gifts, Grants and Other Similar Amounts	g	Noncash contributions included in lines	1a-1f: \$	45,761.				
<u>ම දි</u>	h	Total. Add lines 1a-1f		>	7,905,719.			
				Business Code				
e l	2 a	SAFETY SERVICES	5	900099	33,625.	33,625.		
e Š	b	CARE CONSULTATI	ON	900099	24,234.	24,234.		
S	С	WORKSHOPS/CONFE	RENCES/	900099	19,515.	19,515.		
eve	d	SUPPORT GROUPS		900099	5,811.	5,811.		
Program Service Revenue	е	EARLY STAGE PRO	GRAMMIN	900099	375.	375.		
<u>a</u>	f	All other program service reve	enue	900099	14,810.	14,810.		
	g	Total. Add lines 2a-2f		>	98,370.			
	3	Investment income (including						
		other similar amounts)		>	117,734.			117,734.
	4	Income from investment of ta	x-exempt bond p	roceeds				
	5	Royalties	·	<u>,</u>				
			(i) Real	(ii) Personal				
	6 a	Gross rents						
	b	Less: rental expenses						
	С	Rental income or (loss)						
	d	Net rental income or (loss)						
	7 a	Gross amount from sales of	(i) Securities	(ii) Other				
		assets other than inventory	14885902					
	b	Less: cost or other basis						
		and sales expenses	14884642					
	С	Gain or (loss)	1,260.					
	d	Net gain or (loss)		<u></u>	1,260.			1,260.
e l	8 a	Gross income from fundraisin						
Other Revenue		including $$1,730,9$						
- Be		contributions reported on line	-	205 445				
ē		Part IV, line 18		306,446.				
₹		Less: direct expenses		260,595.	45 051			45 051
		Net income or (loss) from fund	-		45,851.			45,851.
	9 a	Gross income from gaming ac						
		Part IV, line 19						
		Less: direct expenses						
		Net income or (loss) from gam		D				
	и а	Gross sales of inventory, less						
	L	and allowances Less: cost of goods sold						
t	C	Net income or (loss) from sale Miscellaneous Revenu		Business Code				
ŀ	11 0	REVENUE EARNED			1,385,226.			1385226.
	ii a	LESS: NATIONAL'		900099	-2501703.			-2501703.
	c							
	d	All other revenue		900099	75,687.		44,700.	30,987.
		Total. Add lines 11a-11d			-1040790.			
	12	Total revenue. See instructions.			7,128,144.	98,370.	44,700.	-920,645.
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Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

comp	plete columns (B), (C), and (D).				
	Check if Schedule O contains a respon				
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and				
	organizations in the United States. See Part IV, line 21	6,510.	6,510.		
2	Grants and other assistance to individuals in				
	the United States. See Part IV, line 22	289,289.	289,289.		
3	Grants and other assistance to governments,				
	organizations, and individuals outside the				
	United States. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors,				
	trustees, and key employees	898,369.	417,892.	287,294.	193,183.
6	Compensation not included above, to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	2,403,319.	1,689,463.	323,793.	390,063.
8	Pension plan accruals and contributions (include				
	section 401(k) and section 403(b) employer contributions)	87,411.	60,862.	13,314.	13,235.
9	Other employee benefits	390,734.	255,893.	68,668.	66,173.
10	Payroll taxes	254,646.	163,131.	46,692.	44,823.
11	Fees for services (non-employees):				
а	Management				
b	Legal				
С	Accounting	32,750.		32,750.	
d	Lobbying				
е	Professional fundraising services. See Part IV, line 17	36,000.			36,000.
f	Investment management fees				
g	Other	323,373.	269,122.	54,251.	
12	Advertising and promotion				
13	Office expenses	729,367.	524,613.	32,854.	171,900.
14	Information technology				
15	Royalties				
16	Occupancy	810,130.	642,390.	94,290.	73,450.
17	Travel	94,925.	53,691.	22,418.	18,816.
18	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	242,380.	225,463.	3,963.	12,954
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	214,173.	143,947.	38,289.	31,937.
23	Insurance	18,903.	12,695.	3,390.	2,818.
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а	RESEARCH PAYMENTS TO NA	458,768.	458,768.		
b	MISCELLANEOUS	115,458.	46,038.	12,742.	56,678.
С	STAFF DEVELOPMENT	39,258.	33,408.	5,160.	690.
d	CLIENT SERVICES	16,291.	16,291.		
е	All other expenses				
25	Total functional expenses. Add lines 1 through 24e	7,462,054.	5,309,466.	1,039,868.	1,112,720
26	Joint costs. Complete this line only if the organization				
	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				
	Check here X if following SOP 98-2 (ASC 958-720)	969,802.	352,839.	0.	616,963.
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Pa	rt X	Balance Sheet					
					(A) Beginning of year		(B) End of year
	1	Cash - non-interest-bearing			117.	1	500.
	2	Savings and temporary cash investments			3,478,552.	2	1,515,377.
	3	Pledges and grants receivable, net			211,139.	3	404,761.
	4	Accounts receivable, net			26,904.	4	33,521.
	5	Receivables from current and former officers, directo			. ,		
		employees, and highest compensated employees. C	· ·				
		of Schedule L	·			5	
	6	Receivables from other disqualified persons (as defin				Ů	
		4958(f)(1)), persons described in section 4958(c)(3)(B					
		employers and sponsoring organizations of section 5	-				
		employees' beneficiary organizations (see instruction				6	
sts	7	Notes and loans receivable, net		r		7	
Assets	8	Inventories for sale or use			58,911.	8	50,492.
⋖	9	Duran sid a company of all defended all all and a			128,411.	9	1,215,895.
	1	Land, buildings, and equipment: cost or other				Ť	
	104	basis. Complete Part VI of Schedule D 10a	1.908.	242.			
	b	Less: accumulated depreciation 10th	1,908, 1,165,	985.	885,818.	10c	742.257.
	11	Investments - publicly traded securities		9,211,458.	11	742,257. 6,655,184.	
	12	Investments - other securities. See Part IV, line 11			2,590,072.	12	3,838,160.
	13	Investments - program-related. See Part IV, line 11		2/330/0/20	13	3,000,200	
	14		I		14		
	15	Intangible assets Other assets. See Part IV, line 11		258,722.	15	256,397.	
	16	Total assets. Add lines 1 through 15 (must equal line			16,850,104.	16	14,712,544.
	17	Accounts payable and accrued expenses		238,409.	17	263,340.	
	18	Grants payable		18			
	19	Deferred revenue			76,330.	19	1,700.
	20	Tax-exempt bond liabilities			,	20	
S	21	Escrow or custodial account liability. Complete Part I				21	
Liabilities	22	Payables to current and former officers, directors, tru					
ig		highest compensated employees, and disqualified pe					
Ë		of Schedule L	-			22	
	23	Secured mortgages and notes payable to unrelated to		ı		23	
	24	Unsecured notes and loans payable to unrelated thir				24	
	25	Other liabilities (including federal income tax, payable					
		parties, and other liabilities not included on lines 17-2		x of			
		Schedule D			4,267,731.	25	2,448,068.
	26	Total liabilities. Add lines 17 through 25			4,582,470.	26	2,713,108.
		Organizations that follow SFAS 117, check here	X and com	plete	, ,		
ű		lines 27 through 29, and lines 33 and 34.					
၁၁	27	Unrestricted net assets			10,965,671.	27	10,347,124.
alaı	28	Temporarily restricted net assets	1,041,155.	28	1,391,366.		
Ä	29	Permanently restricted net assets	260,808.	29	260,946.		
ڃ		Organizations that do not follow SFAS 117, check		nd	•		•
or F		complete lines 30 through 34.	,				
ţ	30	Capital stock or trust principal, or current funds				30	
SSe	31	Paid-in or capital surplus, or land, building, or equipm		I		31	
Net Assets or Fund Balances	32	Retained earnings, endowment, accumulated income		ı		32	
Š	33	Total net assets or fund balances			12,267,634.	33	11,999,436.
	34	Total liabilities and net assets/fund balances			16,850,104.	34	14,712,544.
	, , ,	. J.a. mapmings and not about, faria balanots			.,,===		, , ==,

Form **990** (2011)

Form **990** (2011)

Ра	Reconciliation of Net Assets							
	Check if Schedule O contains a response to any question in this Part XI		<u></u>	<u> </u>	X			
1	Total revenue (must equal Part VIII, column (A), line 12)	1	7,12					
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,46					
3	Revenue less expenses. Subtract line 2 from line 1	3	-33					
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	12,26					
5	Other changes in net assets or fund balances (explain in Schedule O)	5	6	5,7	12.			
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	11,99	9,4	36.			
Pa	rt XII Financial Statements and Reporting							
	Check if Schedule O contains a response to any question in this Part XII				X			
				Yes	No			
1	Accounting method used to prepare the Form 990: Cash X Accrual Other							
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.							
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		X			
b	Were the organization's financial statements audited by an independent accountant?		2b	Х				
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	e audit,						
	review, or compilation of its financial statements and selection of an independent accountant?		2c	Х				
	If the organization changed either its oversight process or selection process during the tax year, explain in Sch	edule O.						
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issue	d on a						
	separate basis, consolidated basis, or both:							
	X Separate basis Consolidated basis Both consolidated and separate basis							
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si	ngle Audit						
	Act and OMB Circular A-133?	-	За		Х			
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requ	ired audit						
	or audits, explain why in Schedule O and describe any steps taken to undergo such audits		3b					

SCHEDULE A

Department of the Treasury Internal Revenue Service

(Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

➤ Attach to Form 990 or Form 990-EZ. ➤ See separate instructions.

OMB No. 1545-0047

pen to Public Inspection

Name of the organization

ALZHEIMER'S ASSOCIATION,

NEW YORK CITY CHAPTER

Employer identification number 13-3277408

Reason for Public Charity Status (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name. 4 city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h. **b** Type II c Type III - Functionally integrated By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below. Yes Nο the governing body of the supported organization? 11g(i) (ii) A family member of a person described in (i) above? 11g(ii) (iii) A 35% controlled entity of a person described in (i) or (ii) above? Provide the following information about the supported organization(s). h (iii) Type of (vi) Is the (iv) Is the organization (v) Did you notify the (i) Name of supported (ii) EIN (vii) Amount of organization in col. organization organization in col. in col. (i) listed in your organization support (i) organized in the (described on lines 1-9 governing document? (i) of your support? U.S.? above or IRC section (see instructions)) Yes No Yes Yes No Total

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011

132021 01-24-12

Schedule A (Form 990 or 990-EZ) 2011 NEW YORK CITY CHAPTER

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")	4222437.	3629348.	3763909.	11773793.	7905719.	31295206.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
4	Total. Add lines 1 through 3	4222437.	3629348.	3763909.	11773793.	7905719.	31295206.
5	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	column (f)						
6	Public support. Subtract line 5 from line 4.						31295206.
Sec	ction B. Total Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7	Amounts from line 4	4222437.	3629348.	3763909.	11773793.	7905719.	31295206.
8	Gross income from interest,						
	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources	226,763.	189,923.	152,440.	114,363.	117,734.	801,223.
9	Net income from unrelated business						
	activities, whether or not the						
	business is regularly carried on						
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part IV.)				323,460.	306,446.	629,906.
11	Total support. Add lines 7 through 10						32726335.
12	Gross receipts from related activities,	etc. (see instruction	ons)			12	859,125.
13	First five years. If the Form 990 is for	the organization's	s first, second, thir	d, fourth, or fifth ta	ax year as a sectio	n 501(c)(3)	
	organization, check this box and stop	here					>
Sec	ction C. Computation of Publ	ic Support Pe	rcentage				
14	Public support percentage for 2011 (I	ine 6, column (f) di	ivided by line 11, c	olumn (f))		14	95.63 %
15	Public support percentage from 2010	Schedule A, Part	II, line 14			15	95.62 %
16a	33 1/3% support test - 2011. If the o	organization did no	t check the box o	n line 13, and line	14 is 33 1/3% or n	nore, check this bo	
	stop here. The organization qualifies	as a publicly supp	orted organization				►\X
b	33 1/3% support test - 2010. If the o	organization did no	t check a box on I	ine 13 or 16a, and	l line 15 is 33 1/3%	or more, check t	nis box
	and stop here. The organization qual	ifies as a publicly s	supported organiza	ation			▶□
17a	10% -facts-and-circumstances tes	t - 2011. If the org	anization did not c	heck a box on line	e 13, 16a, or 16b, a	and line 14 is 10%	or more,
	and if the organization meets the "fac	ts-and-circumstan	ces" test, check th	nis box and stop h	nere. Explain in Pa	rt IV how the organ	nization
	meets the "facts-and-circumstances"	test. The organiza	tion qualifies as a	publicly supported	d organization		▶□
b	10% -facts-and-circumstances tes	t - 2010. If the org	anization did not c	heck a box on line	e 13, 16a, 16b, or	17a, and line 15 is	10% or
	more, and if the organization meets the	ne "facts-and-circu	mstances" test, cl	neck this box and	stop here. Explain	in Part IV how the	·
	organization meets the "facts-and-circ	cumstances" test.	The organization of	qualifies as a publi	cly supported orga	anization	▶∐
18	Private foundation. If the organization	n did not check a	box on line 13, 16	a, 16b, 17a, or 17t	b, check this box a	and see instruction	s
					Scho	edule A (Form 990	or 990-E7\ 2011

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public	Support						
Calendar year (or fiscal ye	ear beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contr membership fees r	· ·						
include any "unusı	,						
2 Gross receipts from merchandise sold formed, or facilities any activity that is organization's tax-e	m admissions, or services per- s furnished in related to the						
3 Gross receipts from are not an unrelate iness under section	ed trade or bus-						
4 Tax revenues levie ization's benefit an or expended on its	d either paid to						
5 The value of service furnished by a government the organization with the organization	ernmental unit to						
6 Total. Add lines 1	through 5						
7a Amounts included	· ·						
3 received from dis	squalified persons						
b Amounts included on line from other than disqualifi exceed the greater of \$5, amount on line 13 for the	ed persons that 000 or 1% of the						
c Add lines 7a and 7	T						
8 Public support (Sub	T						
Section B. Total S							
Calendar year (or fiscal ye	ear beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line	6						
10a Gross income from dividends, paymen securities loans, re and income from s	nts received on ents, royalties						
b Unrelated business ta	axable income						_
(less section 511 taxe acquired after June 3	′						
c Add lines 10a and 11 Net income from userivities not include whether or not the	nrelated business ded in line 10b, business is						
regularly carried or 12 Other income. Do r or loss from the sa assets (Explain in F 13 Total support (Add line	not include gain le of capital Part IV.)						
14 First five years. If	_	the organization's	first second thin	Ld fourth or fifth to	I ax vear as a sectio	n 501(c)(3) organiz	ation
check this box and		•					
Section C. Compu							
15 Public support per				column (f))		15	%
16 Public support per						16	%
Section D. Compu	itation of Inves	tment Incom	e Percentage				
17 Investment income	e percentage for 20	11 (line 10c, colun	nn (f) divided by lin	ne 13, column (f))		17	%
18 Investment income	e percentage from 2	2010 Schedule A, I	Part III, line 17			18	%
19a 33 1/3% support t	tests - 2011. If the	organization did n	ot check the box	on line 14, and line	e 15 is more than 3	3 1/3%, and line 1	7 is not
more than 33 1/3%							
b 33 1/3% support t		-					
line 18 is not more							
20 Private foundation	n. If the organization	n did not check a	oox on line 14, 19	a, or 19b, check th	nis box and see ins	structions	<u></u> ▶∟

SCHEDULE C

(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

➤ See separate instructions.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service ► Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ.

• Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.

• Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.

Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.

If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

	ne organization answered "Yes" to		Tax), or Form 990-EZ	, Part V, line 35c (Proxy T	ax), then
	Section 501(c)(4), (5), or (6) organizane of organization ALZHEIM	ER'S ASSOCIATION,		Emple	oyer identification number
		K CITY CHAPTER		'	13-3277408
Pa		ganization is exempt unde	er section 501(c)	or is a section 527 o	
2	Provide a description of the organize Political expenditures Volunteer hours			▶\$	
Pa	art I-B Complete if the org	ganization is exempt unde	er section 501(c)(3).	
1	Enter the amount of any excise tax	incurred by the organization under	er section 4955	 ▶\$	
2	Enter the amount of any excise tax	incurred by organization manager	rs under section 4955	▶\$	
3	If the organization incurred a section	on 4955 tax, did it file Form 4720 f	or this year?		🖳 Yes 🖳 No
4a	Was a correction made?				Ves No
Do	o If "Yes," describe in Part IV. art I-C Complete if the org	ranization is exampt unde	r coation 501/a	execut eastion F01/	2/3/
	Enter the amount directly expended	•			, , ,
3	Enter the amount of the filing organexempt function activities Total exempt function expenditures line 17b Did the filing organization file Form Enter the names, addresses and ermade payments. For each organization received that were prolitical action committee (PAC). If	s. Add lines 1 and 2. Enter here an 1120-POL for this year? mployer identification number (EIN ation listed, enter the amount paid omptly and directly delivered to a	od on Form 1120-POL, 1) of all section 527 pol from the filing organizes separate political organizes.	ction 527 \$ \$ \$ \$ itical organizations to whic ation's funds. Also enter thunization, such as a separa	Yes No h the filing organization e amount of political
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2011

LHA

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Schedule C (Form 990 or 990-EZ) 2011 NEW YORK CITY CHAPTER

Schedule C (Form 990 or 990-EZ) 2011				n 501/a)/2) and til		2//400	'age 2			
Part II-A Complete if the org			mpt under sectio		ea Form 5768					
`		• • • • • • • • • • • • • • • • • • • •	liata d away wa (awad liat in	- Doubly and affiliated		an adduses FIN				
A Check ► ☐ if the filing organiza expenses, and sha			· · ·	n Part IV each affiliated	group member's nam	ie, address, Ein	1,			
			expenditures). nd "limited control" pro	vicione apply						
Limi	ts on Lobb	ying Expe	•		(a) Filing organization's totals	(b) Affiliated of totals	 jroup			
1a Total lobbying expenditures to infl	uence pub	lic opinion (grass roots lobbying)							
b Total lobbying expenditures to infl										
	c Total lobbying expenditures (add lines 1a and 1b)									
d Other exempt purpose expenditur										
e Total exempt purpose expenditure										
f Lobbying nontaxable amount. Ent										
If the amount on line 1e, column (a) of			bying nontaxable am							
Not over \$500,000	(-)		the amount on line 1e.							
Over \$500,000 but not over \$1,00	0 000		00 plus 15% of the exc							
Over \$1,000,000 but not over \$1,5			00 plus 10% of the exc	·						
Over \$1,500,000 but not over \$17			00 plus 5% of the exce							
Over \$17,000,000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	\$1,000,0								
g Grassroots nontaxable amount (enter 25% of line 1f)										
h Subtract line 1g from line 1a. If zer										
i Subtract line 1f from line 1c. If zero	•									
j If there is an amount other than ze	•	• • • • • • • • • • • • • • • • • • • •	line 1i. did the organiz	ation file Form 4720						
reporting section 4911 tax for this			,		[Yes	□No			
·	•		eraging Period Under							
(Some organiz				n do not have to com	olete all of the five					
cc	lumns bel	ow. See th	e instructions for line	es 2a through 2f on pa	age 4.)					
	Lobb	ying Expe	nditures During 4-Yea	ar Averaging Period						
Calendar year (or fiscal year beginning in)	(a) 2	2008	(b) 2009	(c) 2010	(d) 2011	(e) Total	l			
2a Lobbying nontaxable amount										
b Lobbying ceiling amount										
(150% of line 2a, column(e))										
c Total lobbying expenditures										
d Grassroots nontaxable amount										
Grassroots nontaxable amount Grassroots ceiling amount										
(150% of line 2d, column (e))										
(

Schedule C (Form 990 or 990-EZ) 2011

f Grassroots lobbying expenditures

Schedule C (Form 990 or 990-EZ) 2011 NEW YORK CITY CHAPTER

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

or each "	Yes" response to lines 1a through 1i below, provide in Part IV a detailed description	(a)	(b)
of the lobb	ying activity.	Yes	No	Amount
1 Duri	ng the year, did the filing organization attempt to influence foreign, national, state or			
loca	legislation, including any attempt to influence public opinion on a legislative matter			
or re	ferendum, through the use of:			
a Volu	nteers?	X		
b Paid	staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
c Med	a advertisements?		X	
d Maili	ngs to members, legislators, or the public?	X		3,727.
e Publ	ications, or published or broadcast statements?		X	
f Gran	ts to other organizations for lobbying purposes?		X	
	et contact with legislators, their staffs, government officials, or a legislative body?	X		170,327.
h Ralli	es, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Othe	r activities?	X		2,169.
j Tota	. Add lines 1c through 1i			176,223.
	he activities in line 1 cause the organization to be not described in section 501(c)(3)?		Х	
b If "Y	es," enter the amount of any tax incurred under section 4912			
	es," enter the amount of any tax incurred by organization managers under section 4912			
d If the	filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			
	A Complete if the organization is exempt under section 501(c)(4), section	on 501(c)(5), or se	ction
	501(c)(6).			

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		
_				

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political		
	expenses for which the section 527(f) tax was paid).		
а	Current year	2a	
	Carryover from last year	2b	
	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess		
	does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political		
	expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A; and Part II-B, line 1. Also, complete this part for any additional information.

PART II-B, LINE 1, LOBBYING ACTIVITIES:

PAID LOBBYIST APPROACHES VARIOUS NYC COUNCILMEMBERS AND PARTICIPATES IN STATE LOBBYING. EACH YEAR, CHAPTER'S STAFF AND VOLUNTEERS ATTEND PUBLIC POLICY FORUM IN WASHINGTON AND ON LOBBY DAY, A TEAM OF CHAPTER'S STAFF AND VOLUNTEERS GO TO ALBANY TO LOBBY ON BEHALF OF THE ALZHEIMER'S THE CHAPTER IS A MEMBER OF THE COALITION OF ASSOCIATION. IN ADDITION,

Schedule C (Form 990 or 990-EZ) 2011

1

ALZHEIMER'S ASSOCIATION,

Sche	dule C	(Form 9	190 or 990)-EZ)) 2011 NEV	V YC	JRK C	Τ.	LY CI	AAPTER			⊥3-	-32//408	Page 4
Par	t IV	Supp	lement	al I	nformatic	n (cc	ontinued)								
NYS	AL	ZHEI	MER'S	S (CHAPTER	RS,	INC.	,	WHO	CONDUCTS	PUBLIC	POLICY	AND	GRANT	
ACI	IVI	TIES	WITH	III	NEW Y	ORI	K STA	ΤI	Ξ						

SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

➤ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

➤ Attach to Form 990. ➤ See separate instructions.

2011
Open to Public Inspection

Name of the organization

ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER

Employer identification number 13-3277408

Par	t I Organizations Maintaining Donor Advised	Funds or Other Similar Funds	s or Accounts. Complete if the
	organization answered "Yes" to Form 990, Part IV, line 6		
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in writ	ting that the assets held in donor advis	sed funds
	are the organization's property, subject to the organization's ex-		
6	Did the organization inform all grantees, donors, and donor advi		
	for charitable purposes and not for the benefit of the donor or d		
Par			
1	Purpose(s) of conservation easements held by the organization		
	Preservation of land for public use (e.g., recreation or edu	`	storically important land area
	Protection of natural habitat		tified historic structure
	Preservation of open space		
2	Complete lines 2a through 2d if the organization held a qualified	d conservation contribution in the form	of a conservation easement on the last
	day of the tax year.		
	•		Held at the End of the Tax Year
а	Total number of conservation easements		2a
b	-		
С	Number of conservation easements on a certified historic struct		
d	Number of conservation easements included in (c) acquired after		
	listed in the National Register		
3	Number of conservation easements modified, transferred, relea	sed, extinguished, or terminated by the	e organization during the tax
	year►		
4	Number of states where property subject to conservation easer	ment is located >	
5	Does the organization have a written policy regarding the period	dic monitoring, inspection, handling of	
	violations, and enforcement of the conservation easements it has	olds?	Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting, an	d enforcing conservation easements of	during the year >
7	Amount of expenses incurred in monitoring, inspecting, and enf	forcing conservation easements during	g the year ▶ \$
8	Does each conservation easement reported on line 2(d) above s	satisfy the requirements of section 170	0(h)(4)(B)(i)
	and section 170(h)(4)(B)(ii)?		Yes No
9	In Part XIV, describe how the organization reports conservation	easements in its revenue and expense	e statement, and balance sheet, and
	include, if applicable, the text of the footnote to the organization	n's financial statements that describes	the organization's accounting for
	conservation easements.		
Par	t III Organizations Maintaining Collections of A	Art, Historical Treasures, or C	other Similar Assets.
	Complete if the organization answered "Yes" to Form 99	0, Part IV, line 8.	
1a	If the organization elected, as permitted under SFAS 116 (ASC $$	958), not to report in its revenue state	ment and balance sheet works of art,
	historical treasures, or other similar assets held for public exhibit	ition, education, or research in furthera	ance of public service, provide, in Part XIV,
	the text of the footnote to its financial statements that describe	s these items.	
b	If the organization elected, as permitted under SFAS 116 (ASC $$	958), to report in its revenue statemen	t and balance sheet works of art, historical
	treasures, or other similar assets held for public exhibition, educ	cation, or research in furtherance of pu	ıblic service, provide the following amounts
	relating to these items:		
	(i) Revenues included in Form 990, Part VIII, line 1		> \$
	(ii) Assets included in Form 990, Part X		
2	If the organization received or held works of art, historical treasures	ures, or other similar assets for financia	al gain, provide
	the following amounts required to be reported under SFAS 116 $$	· -	
а	Revenues included in Form 990, Part VIII, line 1		> \$
b	Assets included in Form 990, Part X		> \$

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2011

Schedule D (Form 990) 2011

	t III Organizations Maintaining C	ollections of Ar	t, Historical Tr	easures, or Oth	er Simil	ar Asse	ts (conti	nued)	.90		
3	Using the organization's acquisition, accessi	on, and other record	s, check any of the	following that are a	significant	use of its	collection	n items	 s		
	(check all that apply):										
а	Public exhibition	d	Loan or exc	hange programs							
b	b										
С											
4	Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.										
5	During the year, did the organization solicit o	r receive donations of	of art, historical trea	sures, or other simila	ar assets						
	to be sold to raise funds rather than to be ma	aintained as part of t	he organization's co	ollection?			Yes		No		
Pai	t IV Escrow and Custodial Arran	gements. Comple	ete if the organization	n answered "Yes" to	Form 990), Part IV, I	ine 9, or				
	reported an amount on Form 990, Par	t X, line 21.									
1a	Is the organization an agent, trustee, custod	an or other intermed	liary for contribution	ns or other assets no	t included		_				
	on Form 990, Part X?					L	Yes		No		
b	If "Yes," explain the arrangement in Part XIV										
							Amount				
С	Beginning balance				1c						
	Additions during the year										
	Distributions during the year										
f	Ending balance										
2a	2a Did the organization include an amount on Form 990, Part X, line 21?										
	If "Yes," explain the arrangement in Part XIV.										
Pai	t V Endowment Funds. Complete i	f the organization an	swered "Yes" to Fo	rm 990, Part IV, line	10.						
		(a) Current year	(b) Prior year	(c) Two years back	(d) Three y	ears back/	(e) Four	years l	back		
1a	Beginning of year balance	260,808.	260,545.	260,267.							
b	Contributions										
С	Net investment earnings, gains, and losses	138.	263.	278.							
d	Grants or scholarships										
е	Other expenditures for facilities										
	and programs										
f	Administrative expenses										
g	End of year balance	260,946.	260,808.	260,545.							
2	Provide the estimated percentage of the curr	rent year end balanc	e (line 1g, column (a	a)) held as:							
а	Board designated or quasi-endowment		_%								
b	Permanent endowment ► 100.00	%									
С	Temporarily restricted endowment ▶	%									
	The percentages in lines 2a, 2b, and 2c should	ıld equal 100%.									
За	Are there endowment funds not in the posse	ssion of the organiza	ation that are held a	nd administered for	the organiz	zation	_				
	by:							Yes	No		
	(i) unrelated organizations						3a(i)		_X_		
	(ii) related organizations						3a(ii)		<u> </u>		
b	If "Yes" to 3a(ii), are the related organizations	s listed as required o	n Schedule R?				3b				
4	Describe in Part XIV the intended uses of the										
Pai	t VI Land, Buildings, and Equipm	ent. See Form 990	, Part X, line 10.								
	Description of property	(a) Cost or of basis (investment)			Accumulate epreciation		(d) Book	value	·		
1a	Land										
	Buildings										
	Leasehold improvements	1,426,			824,3			2,38			
d	Equipment	101	497.		341,6	24.	139	8,8	73.		
е	Other										
Total	. Add lines 1a through 1e. (Column (d) must e	qual Form 990, Part	X, column (B), line 1	0(c).)		•	742	2,25	57.		

Part	VII Investments - Other Securities. See	e Form 990, Part X, line	12.		
	(a) Description of security or category (including name of security)	(b) Book value	Co	(c) Method of valua st or end-of-year mar	
(1) Fir	ancial derivatives				
	osely-held equity interests				
(3) Ot	her	2 020 160			
$\overline{}$	CERTIFICATES OF DEPOSIT	3,838,160	• END-OF-Y	EAR MARKET	VALUE
(B)					
(C)					
(D)					
(E)					
(G)					
(H)					
(I)					
	Col (b) must equal Form 990, Part X, col (B) line 12.) ▶	3,838,160	•		
Part	VIII Investments - Program Related. Se	ee Form 990, Part X, line	13.		
	(a) Description of investment type	(b) Book value	Co	(c) Method of valua st or end-of-year mar	
(1)					
(2)					
(3)					
(4)					
(5)					
(6)			_		
(8)					
(9)					
(10)					
$\stackrel{\sim}{-}$	Col (b) must equal Form 990, Part X, col (B) line 13.)				
Part		15.	•		
	(a) I	Description			(b) Book value
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(10)					
-	(Column (b) must equal Form 990, Part X, col (B) line	15.)			
Part				· ·	
1.	(a) Description of liability		(b) Book value		
(1)	Federal income taxes				
(2)	DUE TO ALZHEIMER'S ASSOCIA	ATION-			
(3)	NATIONAL OFFICE		721,469.		
(4)	ANNUITY PAYMENT OBLIGATION	NS	29,773.		
(5)	DEFERRED RENT		1,696,826.		
(6)					
(7)					
(8)					
(9)					
(10)					
-	(Column (b) must equal Form 990, Part X, col (B) line	25)	2,448,068.		
FIN	48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to 48 (ASC 740).	the organization's financial stat	ements that reports the organ	ization's liability for uncerta	in tax positions under

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Schedule D (Form 990) 2011

Pa	rt XI	Reconciliation of Change in Net Assets from Form 990 to	Audited	Financial S	tatem	ıent	S
1	Total	revenue (Form 990, Part VIII, column (A), line 12)		1			7,128,144.
2	Total	expenses (Form 990, Part IX, column (A), line 25)		2			7,462,054.
3		ss or (deficit) for the year. Subtract line 2 from line 1					-333,910.
4		nrealized gains (losses) on investments					65,712.
5		ted services and use of facilities					
6		tment expenses					
7		period adjustments					
8		(Describe in Part XIV.)					
9	Total	adjustments (net). Add lines 4 through 8		9			65,712.
10	Exces	ss or (deficit) for the year per audited financial statements. Combine lines 3 an	d 9	10			-268,198.
Pa	rt XII	Reconciliation of Revenue per Audited Financial Stateme	ents With	Revenue p	er Ret	turn	
1	Total	revenue, gains, and other support per audited financial statements			L	1	7,193,856.
2	Amou	ints included on line 1 but not on Form 990, Part VIII, line 12:					
а	Net u	nrealized gains on investments	2a	65,73	12.		
b	Donat	ted services and use of facilities	2b				
С	Recov	veries of prior year grants	2c				
d		(Describe in Part XIV.)					
е	Add li	nes 2a through 2d			1	2e	65,712.
3	Subtr	act line 2e from line 1			L	3	7,128,144.
4		ints included on Form 990, Part VIII, line 12, but not on line 1:					
а	Invest	tment expenses not included on Form 990, Part VIII, line 7b	4a				
b	Other	(Describe in Part XIV.)	4b				_
С		nes 4a and 4b				4c	0.
_5	Total	revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)				5	7,128,144.
Pa		Reconciliation of Expenses per Audited Financial Statem				etu	
1	Total	expenses and losses per audited financial statements			L	1	7,462,054.
2		ints included on line 1 but not on Form 990, Part IX, line 25:					
а	Donat	ted services and use of facilities	2a				
b	Prior	year adjustments	2b				
С		losses					
d	Other	(Describe in Part XIV.)	2d				_
е		nes 2a through 2d				2e	0.
3	Subtr	act line 2e from line 1				3	7,462,054.
4		ints included on Form 990, Part IX, line 25, but not on line 1:	1 1				
а		tment expenses not included on Form 990, Part VIII, line 7b					
b	Other	(Describe in Part XIV.)	4b				_
С	Add li	nes 4a and 4b				4c	0.
		expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)				5	7,462,054.
Pa	rt XIV	Supplemental Information					
	-	nis part to provide the descriptions required for Part II, lines 3, 5, and 9; Part II					
		rt XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also comp					
PAI	RT V	, LINE 4: THE CHAPTER'S ENDOWMENT CONS	ISTS C	F FOUR .	TNDT	$\overline{\Lambda}$ TT	DUAL
DOI	NOR-	RESTRICTED ENDOWMENT FUNDS ESTABLISHED	TO CR	EATE ANI	O PR	ОМС	OTE
COI	MPRE	HENSIVE AND HUMANE CARE AND TREATMENT	FOR PE	RSONS W	ITH .	AL2	ZHEIMER'S
DI	SEAS	E AND RELATED DISORDERS, AND TO PROVIDE	E SUPP	ORT FOR	THE	IR	FAMILIES
AN	D PR	OFESSIONAL CAREGIVERS.					
PAI	RT X	., LINE 2: THE ORGANIZATION ADOPTED PRO	VISION	IS PRETA:	ININ	 G 1	0
		'AIN TAX PROVISIONS AND HAS DETERMINED					

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Part XIV Supplemental Information (continued)											
UNCERTAIN	TAX	POSITIONS	THAT	REQUIRE	RECOGNITION	OR	DISCLOSURE	IN	THE		
FINANCIAL	STAT	TEMENTS.									
-											
-											

SCHEDULE G

(Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,

or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

Open To Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

ALZHEIMER'S ASSOCIATION,

NEW YORK CITY CHAPTER

Employer identification number

13-3277408

Part I Fundraising Activities required to complete this pa	5. Complete if the organization answart.	vered "Y	'es" to	Form 990, Part IV,	ine 17. Form 990-EZ	filers are not
 Indicate whether the organization rate a X Mail solicitations X Mail solicitations X Internet and email solicitations C Phone solicitations X In-person solicitations 2 a Did the organization have a written key employees listed in Form 990, I b If "Yes," list the ten highest paid incompensated at least \$5,000 by the 	e X Solicita f X Solicita g X Specia or oral agreement with any individua Part VII) or entity in connection with dividuals or entities (fundraisers) pure	ation of ation of al fundra al (includ professi	non-g gover ising ding o	overnment grants nment grants events fficers, directors, true fundraising services?	stees or X Yes	
(i) Name and address of individual or entity (fundraiser) (ii) Activity			Did aiser ustody trol of utions?	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
KLO EVENTS, LLC - 1910 FOX	ALL FUND RAISING SERVICES	Yes	No			
HOLLOW LANE, EASTON, PA	OCTOBER 2011 & 2012 WALK	100	X	1,086,697.	36,000.	1,050,697.
3 List all states in which the organizati or licensing.	on is registered or licensed to solicit		utions	1,086,697. s or has been notified	36,000. d it is exempt from re	1,050,697. egistration
NY						

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Schedule G (Form 990 or 990-EZ) 2011

LHA Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. SEE PART IV FOR CONTINUATIONS

Schedule G (Form 990 or 990-EZ) 2011 NEW YORK CITY CHAPTER

oonloadio a	1 1 6111 666 61 666 22/2611		-
Part II	Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or	r reported more than	\$15,000
	of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gr	ross receipts greater	than \$5,000.

		of fundraising event contributions and gr				r
			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
			GALA	MARATHON	14	(add col. (a) through
Φ			(event type)	(event type)	(total number)	col. (c))
Revenue	1	Gross receipts	1,095,781.	402,471.	539,140.	2,037,392.
	2	Less: Charitable contributions	898,290.	399,028.	433,628.	1,730,946.
	3	Gross income (line 1 minus line 2)	197,491.	3,443.	105,512.	306,446.
	4	Cash prizes				
ses	5	Noncash prizes				
Direct Expenses	6	Rent/facility costs				
Direct	7	Food and beverages				
	8	Entertainment				
	9	Other direct expenses	150,000.	37,535.	73,060.	260,595.
	10					(260,595)
	11	Net income summary. Combine line 3, colum	n (d), and line 10		>	45,851.
Pa	irt i		answered "Yes" to Form	990, Part IV, line 19, or r	reported more than	
_		\$15,000 on Form 990-EZ, line 6a.	Ī	(b) Pull tabs/instant		(d) Total gaming (add
ηue			(a) Bingo	bingo/progressive bingo	(c) Other gaming	col. (a) through col. (c)
Revenue						
Ä	1	Gross revenue				
es	2	Cash prizes				
Direct Expenses	3	Noncash prizes				
Direct	4	Rent/facility costs				
	5	Other direct expenses				
		Volunteer labor	Yes % No	Yes %	Yes % No	
	7	Direct expense summary. Add lines 2 through	n 5 in column (d)		>	()
	8	Net gaming income summary. Combine line 1	, column d, and line 7		>	
_	F		Ann manadam o esticista			
		ter the state(s) in which the organization opera the organization licensed to operate gaming ac	· · · · -	ntatos?		Yes No
		ne organization licensed to operate gaming ac No," explain:				. L 162 L NO
		- CAPIGITI.				
	_					
10a	We	ere any of the organization's gaming licenses re	evoked, suspended or te	erminated during the tax	year?	Yes No
b	If "	Yes," explain:				
	_					

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Schedule G (Form 990 or 990-EZ) 2011

ALZHEIMER'S ASSOCIATION,

Sch	edule G (Form 990 or 990-EZ) 2011 NEW YORK CITY CHAPTER 13-	34//4	08 Page 3
11	Does the organization operate gaming activities with nonmembers?	L∐ Ye	es L No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed		
	to administer charitable gaming?	Ye	es 🔲 No
13	Indicate the percentage of gaming activity operated in:		
	The organization's facility	13a	%
	An outside facility		%
	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name		
	Address >		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	\ Ye	es No
b	If "Yes," enter the amount of gaming revenue received by the organization > and the amount		
	of gaming revenue retained by the third party \$\bigs\sum_{\text{quantity}} = \text{quantity} =		
С	If "Yes," enter name and address of the third party:		
·	Too, Onto hand address of the time party.		
	Name		
	Address >		
16	Gaming manager information:		
	Name ▶		
	Gaming manager compensation ▶ \$		
	Canning manager compensation •		
	Description of services provided		
	Director/officer Employee Independent contractor		
	Mandatory distributions:		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to		
	retain the state gaming license?	L Ye	es L No
b	Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the		
D -	organization's own exempt activities during the tax year > \$		
Ра	Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (ii		•
	lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information	n (see ins	tructions).
SC	HEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISE	RS:	
(I) NAME OF FUNDRAISER: KLO EVENTS, LLC		
<u>(I</u>) ADDRESS OF FUNDRAISER: 1910 FOX HOLLOW LANE, EASTON, PA 18	040	
SC	HEDULE G, PART I, LINE 2B, COLUMN (V): PAYMENTS TO KLO EVENTS	WERE	FOR
PR	OFESSIONAL FUNDRAISING SERVICES ONLY AND DID NOT INCLUDE PAYM	ENT O	— <u>———</u> F
	HER FUNDRAISING EXPENSES.		
<u> </u>	HINT LONDING BUIENDED.		

SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

3	R'S ASSOCI	-					Employer identification number
	CITY CHAP	TER					13-3277408
Part I General Information on Grants					h. f H		Al-a-
1 Does the organization maintain records criteria used to award the grants or ass	sistance?						
2 Describe in Part IV the organization's p							
Part II Grants and Other Assistance to		-				·	
recipient that received more than					(f) Method of	(g) Description of	
Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	valuation (book, FMV, appraisal, other)	non-cash assistance	(h) Purpose of grant or assistance
2 Enter total number of section 501(c)(3)			ne line 1 table				>
3 Enter total number of other organization	ns listed in the line	1 table					

Page 2

Schedule I (Form 990) (2011) NEW YORK CITY		13-3277408	Page 2			
Part III Grants and Other Assistance to Individuals in the UPart III can be duplicated if additional space is needed		nplete if the organiza	ation answered "Yes	to Form 990, Part IV, line 22.		
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non- cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash	assistance
FINANCIAL ASSISTANCE PROVIDED TO FAMILIES AND OTHER CAREGIVERS (I.E. MEDICAL BILLS, RENTS,						
UTILITIES)	2502	289,289.	. 0.	FAIR MARKET VALUE		
Part IV Supplemental Information. Complete this part to prov	I vide the information	I on required in Part I,	line 2, and any other	I r additional information.		
ALL "SPECIAL ASSISTANCE FUNDS" AR	E REVIEWE	D ON A REG	ULAR BASIS	·		
PAYMENTS ARE REVIEWED AND APPROVE	D BY THE	RESPONSIBL	E MANAGER(S) TO		
ENSURE PROPER JUSTIFICATION. THER	E ARE NO	INDIVIDUAL	GRANTS OV	ER \$5,000		
				. ,		

SCHEDULE J (Form 990)

Part I

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Complete if the organization answered "Yes" to Form 990, Part IV, line 23. 2011

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Attach to Form 990. ► See separate instructions.

ALZHEIMER'S ASSOCIATION,

NEW YORK CITY CHAPTER

Questions Regarding Compensation

Employer identification number 13-3277408

Yes No 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. First-class or charter travel Housing allowance or residence for personal use Travel for companions Payments for business use of personal residence Tax indemnification and gross-up payments Health or social club dues or initiation fees Discretionary spending account Personal services (e.g., maid, chauffeur, chef) b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain 1b Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? 2 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director. Explain in Part III. X Compensation committee Written employment contract
 ■ Output
 Description:
 □ Output
 Description:
 □ Output
 X Compensation survey or study Independent compensation consultant X Form 990 of other organizations X Approval by the board or compensation committee During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: X a Receive a severance payment or change-of-control payment? X **b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? X c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III. Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: Х a The organization? X **b** Any related organization? If "Yes" to line 5a or 5b, describe in Part III. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: Х 6a a The organization? X **b** Any related organization? If "Yes" to line 6a or 6b, describe in Part III. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III Х 7 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III Х If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2011

Regulations section 53.4958-6(c)?

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

	(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C)	(D)	(E)	(F)
(A) Name	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	Retirement and other deferred compensation	Nontaxable benefits	Total of columns (B)(i)-(D)	Compensation reported as deferred in prior Form 990
(i	240,482.	0.	0.	12,813.	0.	253,295.	0.
1 LOU-ELLEN BARKAN		0.	0.	0.	0.	0.	
(i	<u> </u>		0.	7,825.	0.	155,996.	
2 JED A. LEVINE			0.	0.	0.	0.	
	4						
3 (iii							
(i							
4 (ii							
į (i							
							_
(i							
<u>6</u> (ii							
(i 7							
(i							
8 (ii							
(i)							
9 (iii							
(i							
10 (ii)						
(i,							
(i							
12 (ii							
(i)							
13 (ii							
14 (ii							
(i							
15 (ii							
(i)							

SCHEDULE M (Form 990)

Department of the Treasury

Internal Revenue Service

Noncash Contributions

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER Employer identification number 13-3277408

Schedule M (Form 990) (2011)

Pai	T I Types of Property									
		(a)	(b)	(c)			(d)			
		Check if applicable	Number of contributions or	Noncash contribution amounts reported		Method noncash co	of determin	_	t-a	
		арріісаріе		Form 990, Part VIII,		Horicasii co	illibulion a	Hourn	.5	
1	Art - Works of art									
2	Art - Historical treasures									
3	Art - Fractional interests									
4	Books and publications									
5	Clothing and household goods									
6	Cars and other vehicles									
7	Boats and planes									
8	Intellectual property									
9	Securities - Publicly traded	X	9	45,7	61.	COST OR	SELLIN	G P	RIC	
10	Securities - Closely held stock									
11	Securities - Partnership, LLC, or									
	trust interests									
12	Securities - Miscellaneous									
13	Qualified conservation contribution -									
	Historic structures									
14	Qualified conservation contribution - Other									
15	Real estate - Residential									
16	Real estate - Commercial									
17	Real estate - Other									
18	Collectibles									
19	Food inventory									
20	Drugs and medical supplies									
21	Taxidermy									
22	Historical artifacts									
23	Scientific specimens									
24	Archeological artifacts									
25	Other ()									
26	Other ()									
27	Other ()									
28	Other ()		<u> </u>							
29	Number of Forms 8283 received by the organia		•							
	for which the organization completed Form 82	83, Part IV,	Donee Acknowled	gement	29					
								Yes	No	
30a	During the year, did the organization receive by									
	at least three years from the date of the initial of		•	•					х	
	the entire holding period?						30a			
	b If "Yes," describe the arrangement in Part II.									
	31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? 31 3									
s∠a	32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash									
L-	contributions?						32a		X	
	If "Yes," describe in Part II. If the organization did not report an amount in	column (a) f	for a type of prope	rty for which column	(a) is ah	nockod				
33	describe in Part II.	COMMITT (C) 1	ioi a type oi prope	ity for writeri column	(a) is cr	ieckeu,				
	GCSCHDE IIII AILII.									

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LHA

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

SCHEDULE O

Supplemental Information to Form 990 or 990-EZ

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

2011
Open to Public Inspection

Name of the organization

ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER

Employer identification number 13-3277408

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE MISSION OF THE ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER IS TO

CREATE AND PROMOTE COMPREHENSIVE AND HUMANE CARE AND TREATMENT FOR

PERSONS WITH ALZHEIMER'S DISEASE AND RELATED DISORDERS, TO PROVIDE

SUPPORT FOR THEIR FAMILIES AND PROFESSIONAL CAREGIVERS, AND TO

ELIMINATE ALZHEIMER'S DISEASE THROUGH THE ADVANCEMENT OF RESEARCH.

THE CHAPTER MEETS THIS MISSION IN THE COMMUNITY THROUGH INCREASING

PUBLIC AWARENESS, PROVIDING EDUCATION, CREATING AND ENCOURAGING

REPLICATION OF MODEL PROGRAMS, COLLABORATING WITH RESEARCH CENTERS, AND

UNDERTAKING ADVOCACY.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE MISSION OF THE ALZHEIMER'S ASSOCIATION, NEW YORK CITY CHAPTER IS TO

CREATE AND PROMOTE COMPREHENSIVE AND HUMANE CARE AND TREATMENT FOR

PERSONS WITH ALZHEIMER'S DISEASE AND RELATED DISORDERS, TO PROVIDE

SUPPORT FOR THEIR FAMILIES AND PROFESSIONAL CAREGIVERS, AND TO

ELIMINATE ALZHEIMER'S DISEASE THROUGH THE ADVANCEMENT OF RESEARCH. THE

CHAPTER MEETS THIS MISSION IN THE COMMUNITY THROUGH INCREASING PUBLIC

AWARENESS, PROVIDING EDUCATION, CREATING AND ENCOURAGING REPLICATION OF

MODEL PROGRAMS, COLLABORATING WITH RESEARCH CENTERS, AND UNDERTAKING

ADVOCACY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

1)WORKSHOPS/ CONFERENCES/ SEMINARS: CONSUMER EDUCATION - CHAPTER

PROVIDES A VARIETY OF EDUCATIONAL SEMINARS OFFERED IN COMMUNITIES IN

THE NEW YORK CITY METROPOLITAN AREA.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2011)

- 2)SUPPORT GROUPS: CHAPTER PROVIDES SUPPORT GROUPS FOR CAREGIVERS AND

 PERSONS WITH THE DISEASE OFFERED IN A VARIETY OF LOCATIONS TO MEET THE

 NEEDS OF DIVERSE COMMUNITIES.
- 3) EARLY STAGE PROGRAMMING: ADDRESSES THE UNIQUE NEEDS OF INDIVIDUALS IN

 THE EARLY STAGES OF ALZHEIMER'S OR A RELATED DEMENTIA. CHAPTERS

 ADDRESS EARLY STAGE NEEDS THROUGH A VARIETY OF EDUCATION, AWARENESS,

 AND ENGAGEMENT OPPORTUNITIES DESIGNED TO HELP INDIVIDUALS AND FAMILIES

 COPE WITH THE DIAGNOSIS AND EMPOWER THEM TO MAKE DECISIONS REGARDING

 THEIR FUTURE AND MAKE THE MOST OF LIFE FOLLOWING THEIR DIAGNOSIS.

 OTHER PROGRAM SERVICES:

EXPENSES \$ 3,255,633. INCLUDING GRANTS OF \$ 2,350. REVENUE \$ 40,511.

FORM 990, PART VI, SECTION A, LINE 2: NATHAN HALEQUA (DIRECTOR) AND ANDY

ALBSTEIN (DIRECTOR) HAVE A BUSINESS RELATIONSHIP; ARI COHEN (DIRECTOR) AND

JOHN LATHAM (DIRECTOR) HAVE A BUSINESS RELATIONSHIP.

FORM 990, PART VI, SECTION B, LINE 11: A DRAFT OF FORM 990 IS DISTRIBUTED

TO THE AUDIT COMMITTEE APPROXIMATELY 14 DAYS PRIOR TO THE FILING DATE FOR

THEIR REVIEW AND COMMENT. THE AMENDED DRAFT IS THEN SENT TO THE FULL BOARD

APPROXIMATELY 7 DAYS PRIOR TO FILING

FORM 990, PART VI, SECTION B, LINE 12C: ALL BOARD MEMBER PROSPECTS MUST SIGN THE CONFLICT OF INTEREST POLICY, BEFORE THEY ARE INVITED TO JOIN THE BOARD. ADDITIONALLY, ALL CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES ARE REQUIRED TO SIGN THE POLICY EACH YEAR. THEY ARE ALSO REQUIRED TO DISCLOSE IMMEDIATELY ANY INTERESTS THAT COULD GIVE RISE TO CONFLICTS, SHOULD THESE OCCUR DURING THE YEAR.

FORM 990, PART VI, SECTION B, LINE 15: BOARD MEMBERS WERE ASKED TO PROVIDE WRITTEN COMMENT ON THE CEO'S PERFORMANCE. THE BOARD'S COMPENSATION

COMMITTEE AND THE BOARD'S CO-CHAIRS REVIEWED THE RESULTS AND ALSO REVIEWED THE DATA RELATING TO SALARIES OF CEOS OF OTHER SIMILARLY SITUATED

NON-PROFIT ORGANIZATIONS. BASED ON THE FEEDBACK AND MARKET DATA, THE

COMPENSATION COMMITTEE AND BOARD CO-CHAIRS DECIDED ON A RECOMMENDED

COMPENSATION AMOUNT FOR THE CEO FOR THE UPCOMING FISCAL YEAR. THE FULL

BOARD ADOPTED THE RECOMMENDATION AFTER DISCUSSION IN AN EXECUTIVE SESSION

OF THE BOARD ON JUNE 14. THE SALARIES OF KEY MANAGEMENT EMPLOYEES WERE

DETERMINED THROUGH DISCUSSIONS AMONG THE CEO, THE BOARD'S CO-CHAIRS AND THE COMPENSATION COMMITTEE.

FORM 990, PART VI, SECTION C, LINE 19: THE CHAPTER'S AUDITED FINANCIAL

STATEMENTS ARE MADE AVAILABLE TO THE PUBLIC ON THE CHAPTER'S WEBSITE. THE

CONFLICT OF INTEREST POLICY IS AVAILABLE UPON REQUEST.

FORM 990, PART XI, LINE 5, CHANGES IN NET ASSETS:

NET UNREALIZED GAINS ON INVESTMENTS:

65,712.

FORM 990, PART XII, LINE 2C

THE PROCESS HAS NOT CHANGED SINCE LAST YEAR.

FORM 990, PART I AND X:

PRIOR TO 2010, THE FINANCIAL RESULTS FOR OUR CHAPTER HAVE BEEN REPORTED

AND INCLUDED IN THE ALZHEIMER'S ASSOCIATION'S (NATIONAL) IRS FORM 990

GROUP FILING. BEGINNING WITH 2010, THE NEW YORK CITY CHAPTER FILED AND

WILL FILE ITS OWN INDEPENDENT IRS FORM 990.

SCHEDULE R (Form 990)

Department of the Treasury Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37. ► Attach to Form 990.

➤ See separate instructions.

OMB No. 1545-0047 2011 Open to Public Inspection

ALZHEIMER'S ASSOCIATION, Employer identification number Name of the organization NEW YORK CITY CHAPTER 13-3277408 Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.) Part I (a) (b) (c) (d) (e) (f) Name, address, and EIN Primary activity Legal domicile (state or Total income End-of-year assets Direct controlling of disregarded entity entity foreign country) Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt Part II organizations during the tax year.) (a) (b) (c) (d) (e) (f) (g) Section 512(b)(13) Legal domicile (state or **Exempt Code** Public charity Direct controlling Name, address, and EIN Primary activity controlled of related organization status (if section section entity entity? foreign country) 501(c)(3)) Yes No ALZHEIMER'S DISEASE & RELATED DISORDERS ASSOCIATION INC - 13-3039601 225 NORTH UTILIZE SERVICES OF THE MICHIGAN AVE, 17TH FL, CHICAGO, IL 60601 501(C)(3) LINE 9 МО X NATIONAL ORGANIZATION ILLINOIS COALITION OF NEW YORK STATE ALZHEIMER'S CHAPTERS, INC - 13-4076596, 435 E. HENRIETTA X RD., ROCHESTER, NY 14620 501(C)(3) PUBLIC POLICY ACTIVITIES NEW YORK LINE 7 МО

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2011

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

organizations in out of the transfer of the tr												
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(1	n)	(i)	(j)		(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under	Share of total income	Share of end-of-year assets	Dispropate allocate	cations?	Code V-UBI amount in box 20 of Schedule	partn	er?	Percentage ownership
		country)		sections 512-514)			Yes	No	K-1 (Form 1065)	Yes	No	
	1											
Part IV Identification of Related Org	ganizations Taxable a	as a Corpo	oration or Trust (Co	mplete if the organizat	ion answered "Ye	s" to Form 990, Pa	art IV, I	ine 34	because it had or	ne or	mor	e related

organizations treated as a corporation or trust during the tax year.)

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign country)	Direct controlling entity	Type of entity (C corp, S corp, or trust)	Share of total income	Share of end-of-year assets	Percentage ownership
							<u> </u>
							_
	1.2						

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

Not	e. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.						Yes	No	
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?									
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity								X	
b	b Gift, grant, or capital contribution to related organization(s)								
c Gift, grant, or capital contribution from related organization(s)									
d	Loans or loan guarantees to or for related organization(s)					1d		X	
e Loans or loan guarantees by related organization(s)								X	
								X	
f	f Sale of assets to related organization(s)								
	Purchase of assets from related organization(s)					1g		X	
h	Exchange of assets with related organization(s)					1h		_X_	
i	Lease of facilities, equipment, or other assets to related organization(s)					1i		X	
j	Lease of facilities, equipment, or other assets from related organization(s)					1j		<u>X</u>	
k	Performance of services or membership or fundraising solicitations for related organiz	zation(s)				1k	X		
	Performance of services or membership or fundraising solicitations by related organiz					11	Х	X	
	m Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)								
	Sharing of paid employees with related organization(s)					1n		X	
o Reimbursement paid to related organization(s) for expenses								X	
p Reimbursement paid by related organization(s) for expenses								X	
q Other transfer of cash or property to related organization(s)								X	
	Other transfer of cash or property from related organization(s)					1r		X	
2	If the answer to any of the above is "Yes," see the instructions for information on who								
	(a)	(b)	(c)		(d)				
	Name of other organization	Transaction	Amount involved		Method of determining				
		type (a-r)			amount involved				
	LZHEIMER'S DISEASE & RELATED DISORDERS								
	ASSOCIATION INC	K	2,501,703.	FAIR MARKET	VALUE				
	LZHEIMER'S DISEASE & RELATED DISORDERS								
2) <i>I</i>	SSOCIATION INC	L	1,385,226.	FAIR MARKET	VALUE				
3)									
4)									
5)									
6)									

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c)	(e)	(f) Share of total income	(g) Share of end-of-year assets	(h Dispro tiona allocati Yes	por- ite ons?	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	General manage partne	(k) Percentage ing ownership
	-									
	-									
	-									
	-									
	-									
	-									

Part VII	Supplemental Information
	Complete this part to provide additional information for responses to questions on Schedule R (see instructions).